

Sir:

PATENT Customer No. 22,852 Attorney Docket No. 06502.0357-00000 Sony Ref. No. P6262P/ekl

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
William R. BUSH, et al.) Group Art Unit: 2131
Application No.: 09/976,885) Examiner: Not Yet Assigned
Filed: October 10, 2001)
For: METHOD AND APPARATUS FOR A SECURE VIRTUAL MACHINE) RECEIVED) APR 2 5 2002
Assistant Commissioner for Patents Washington, DC 20231	Technology Center 2100

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached Form PTO-1449. To the best of our knowledge, this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application. Copies of the listed documents are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application, and Applicants determine

FINNEGAN HENDERSON FARABOW GARRETT& DUNNER LLP

1300 l Street, NW Washington, DC 20005 202.408.4000 Fax 202.408.4400 www.finnegan.com that the cited documents do not constitute "prior art" under United States law,

Applicants reserve the right to present to the office the relevant facts and law regarding
the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: April 23, 2002

By:

Karna J. Nisewaner Reg. No. 50,665

FINNEGAN HENDERSON FARABOW GARRETT& DUNNER LLP

1300 I Street, NW Washington, DC 20005 202.408.4000 Fax 202.408.4400 www.finnegan.com



INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)

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Applicant: William R. BUSH et al.

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Group 2131

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U.S. PATENT DOCUMENTS						
Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
	FO	REIGN PATENT	DOCUMENTS			
Examiner Initial*	Document Number	Date	Country	Class	Sub Class	Translation Yes or No
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	Andrew P. Black, "The Ed University of Washington, pp. 1-19. Andrew P. Black, "The Ed University of Washington, 22-25 1986, pp. 177-189.	len Programming Technical Report len Project: Over	Language", Depar 85-09-01, Septen view and Experien	tment of Conber 1985 (local)	emputer Somputer Somp	cience, FR-35, December 1985), Computer Science

Examiner

Date Considered:

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO 1449

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	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
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	Guy Almes, Andrew Black, Carl Bunje and Douglas Wiebe, "Edmas: A Locally Distributed Mail System", Department of Computer Science, University of Washington, Technical Report 83-87-01, July 7, 1983, Abstract & pp. 1-17.
J	Guy T. Almes, "Integration and Distribution in the Eden System", Department of Computer Science, University of Washington, Technical Report 83-01-02, January 19, 1983, pp. 1-18 & Abstract.
-	Guy T. Almes, "The Evolution of the Eden Invocation Mechanism", Department of Computer Science, University of Washington, Technical Report 83-01-03, January 19, 1983, pp. 1-14 & Abstract.
	Guy T. Almes, Andrew P. Black, Edward D. Lazawska, and Jerre D. Noe, "The Eden System: A Technical Review", Department of Computer Science, University of Washington, Technical Report 83 10-05, October 1983, pp. 1-25.
	Guy T. Almes, Michael J. Fischer, Hellmut Golde, Edward D. Lazawska, Jerre D. Noe, "Research in Integrated Distributed Computing", Department of Computer Science, University of Washington, October 1979, pp. 1-42.
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	Krasner et al., "SMALLTALK-80: BITS OF HISTORY, WORDS OF ADVICE", 1983 Xerox Corporation, pp. 1-344.
	Norman C. Hutchinson, "Emerald: An Object-Based Language for Distributed Programming", a Dissertation, University of Washington, 1987, pp. 1-107.

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	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
	Tim Lindholm, Frank Yellin, "The Java™ Virtual Machine Specification Second Edition", The Java Series from the Source™, Java™ 2 Platform, Sun Microsystems.
J	"Eden Project Proposal", Department of Computer Science, University of Washington, October 1980, Technical Report #80-10-01, cover and Foreword.
	Proceedings of the Eighth Symposium on Operating Systems Principles, December 14-16, 1981, ACM, Special Interest Group on Operating Systems, Association for Computing Machinery, Vol. 15, No. 5, December 1981, ACM Order No. 534810.

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